

11/06/01



J1041 U.S. PTO

11-26-01

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Luis A. Cruz

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Signature of person mailing correspondence

J1002 U.S. PTO



11/06/01

UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)

Attorney Docket Number	01997/201006
Applicant	H. Robert Horvitz and Michael Hengartner
Title	IDENTIFICATION AND CHARACTERIZATION OF A GENE WHICH PROTECTS CELLS FROM PROGRAMMED CELL DEATH AND USES THEREFOR

PRIORITY INFORMATION:

This application is a continuation-in-part of and claims priority from United States patent application U.S.S.N. 09/234,186, filed on January 20, 1999, which in turn is a divisional of U.S.S.N. 08/801,248, filed on February 19, 1997, now abandoned, which in turn is a continuation of U.S.S.N. 08/288,295, filed on August 10, 1994, now abandoned, which in turn is a divisional of U.S.S.N. 07/927,681, filed on August 10, 1992, now abandoned, and, which in turn is a continuation-in-part of U.S.S.N. 07/898,933, filed on June 12, 1992, now abandoned.

SMALL ENTITY STATUS:

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

APPLICATION ELEMENTS:

Cover sheet	1 page
Specification	55 pages
Claims	1 page
Abstract	1 page
Drawing	22 sheets
Combined Declaration and POA, which is: <input type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application ["**SERIAL NUMBER**"] and the entire disclosure of the prior application is considered as being part of the disclosure of this new application and is hereby incorporated by reference therein.	3 pages
Sequence Statement	2 pages
Sequence Listing on Paper	13 pages
Sequence Listing on Diskette	1 disk

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0993420-1060

Small Entity Statement, which is: <input type="checkbox"/> A copy from prior application [**SERIAL NUMBER**] and such small entity status is still proper and desired.	[**] pages
Preliminary Amendment	[**] pages
IDS	[**] pages
Form PTO 1449	[**] pages
Cited References	[**] references
Recordation Form Cover Sheet and Assignment	[**] pages
English Translation	[**] pages
Certified Copy of Priority Document	[**] pages
Return Receipt Postcard	1
FILING FEES:	
Basic Filing Fee: \$740	\$740.00
Excess Claims Fee: $18 - 20 = 0 \times \$18$	\$0.00
Excess Independent Claims Fee: $3 - 3 = 0 \times \$84$	\$0.00
Multiple Dependent Claims Fee: \$280	\$280.00
Total Fees:	\$1,020.00
<input checked="" type="checkbox"/> Enclosed is a check for 1,020.00 to cover the total fees. <input type="checkbox"/> Charge [**AMOUNT**] to Deposit Account No. 03-2095 to cover the total fees. <input type="checkbox"/> The filing fee is not being paid at this time. <input checked="" type="checkbox"/> Please apply any other charges, or any credits, to Deposit Account No. 03-2095.	
CORRESPONDENCE ADDRESS:	
Kristina Bieker-Brady, Ph.D. Reg. No. 39,109 Clark & Elbing LLP 176 Federal Street Boston, MA 02110 Telephone: 617-428-0200 Facsimile: 617-428-7045	
CUSTOMER NO: 21559	
Signature <i>K. Bieker-Brady</i>	Date <i>November 4, 2007</i>

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PATENT TRADEMARK OFFICE

As required by 37 C.F.R. § 1.821(d), the sequence identifiers are used throughout

the application description and claims to refer to their respective sequences.

As required by 37 C.F.R. § 1.821(e), enclosed is a diskette containing a copy of the sequence listing in computer readable form.

As required by 37 C.F.R. § 1.821(f), I hereby state that the contents of the computer readable form are the same as the contents of the paper copy.

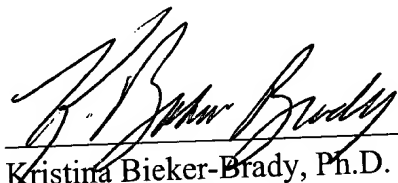
If there are any charges or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date:

November 6, 2007


Kristina Bieker-Brady, Ph.D.
Reg. No. 39,109

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045

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